



04772 U.S. PTO

CUSTOMER NO. 21395
Atty Docket: 0102/0241

10/767073
S.3. P.10



FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

BOX APPLICATIONS

Re: New U.S. Patent Application

For: RECORDING MEDIUM AND SIGNAL
PROCESSING APPARATUS

Inventor(s): Yoshiaki TANAKA
Shoji UENO
Norihiko FUCHIGAMI

Attorney Docket: 0102/0241

Sir:

Attached hereto is the application identified above, including 169 pages of textual specification including 39 claims, and 84 sheets of drawings.

[XX] This application is a divisional of U.S. Patent Application No. 09/195,100, filed November 18, 1998.

[XX] Submitted herewith is a Preliminary Amendment. The filing fee and claims calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

| (Col 1) | | (Col 2) | | (Col 3) | | SMALL ENTITY | | NON-SMALL ENTITY | |
|---|----------|---------|-----------|-----------|--|--------------|----|------------------|----------------|
| NO. FILED | | | | NO. EXTRA | | RATE | | FEE | |
| TOTAL | 4 | minus | 20 | | | x9= | | 0 | |
| INDEP | 4 | minus | 3 | 1 | | x43= | | 0 | |
| <u>First Presentation, Multiple Dependent Claims</u> | | | | | | +145= | | 0 | |
| Base Filing Fee | | | | | | | \$ | | \$770 |
| TOTAL FILING FEE* (accounting for possible small entity status) | | | | | | | \$ | | OR TOTAL \$856 |

CUSTOMER NO. 21395
Atty Docket: 0102/0241

[] Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

- [] is filed herewith;
- [] will be filed at a later date;
- [] was filed in the parent application.

[XX] Foreign priority is claimed under 35 U.S.C. § 119 from the following:

Japanese Patent Application No. 9-337700 filed November 21, 1997
Japanese Patent Application No. 9-343916 filed November 28, 1997
Japanese Patent Application No. 10-191040 filed June 22, 1998 (not claimed)

[Certified copies of the priority applications were submitted in parent application No. 09/195,100 on December 4, 1998]

[XX] A copy of the Declaration/Power of Attorney submitted in the parent '100 application is submitted herewith.

[] Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).

[XX] Formal drawing(s) is/are attached.

[] Formal drawing(s) will be submitted at a later date.

[XX] An Information Disclosure Statement, PTO-1449 citing the references are submitted.

[XX] The Assignment document of the '100 parent application was recorded on November 18, 1999 on Reel 09628, Frame 0751.

[XX] The Commissioner is hereby authorized to charge the amount of \$ 856.00 for the filing of this application to Deposit Account No. 50-0501. Also, the Commissioner is authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.

CUSTOMER NO. 21395
Atty Docket: 0102/0241

No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.

The Commissioner is hereby authorized to charge any fee deficiency, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.

Address all future communications (may only be completed by applicant, or attorney of record) to Louis Woo at Customer No. 021395 whose address is:

Law Office of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
(703) 299-4090

Respectfully submitted,


Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
Phone: (703) 299-4090

Date: Jan 30 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshiaki TANAKA et al.

Serial No.

Art Unit:

Filed:

Examiner:

Divisional of 09/195,100
filed November 18, 1998

Atty Docket: 0102/0241

For: RECORDING MEDIUM AND
SIGNAL PROCESSING
APPARATUS

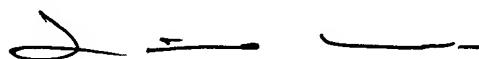
SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are eighty-four (84) sheets of formal drawings containing
Figs. 1-111 relating to the above-identified application.

Respectfully submitted,



Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
Phone: (703) 299-4090

Date: Jan 30 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshiaki TANAKA et al.

Serial No.

Art Unit:

Filed:

Examiner:

Divisional of 09/195,100
filed November 18, 1998

Atty Docket: 0102/0241

For: RECORDING MEDIUM AND
SIGNAL PROCESSING
APPARATUS

CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please send all correspondence for this application to the following address:

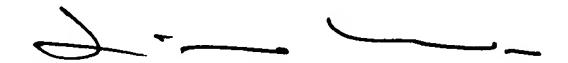
Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314

Customer No. 021395

Please direct telephone calls to:

(703) 299-4090

Respectfully submitted,


Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
Phone: (703) 299-4090

Date: Jan. 20 2004